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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 10

Complete if Known

Application Number	09/530,334
Filing Date	June 10, 2000
First Named Inventor	Stefan Rover
Art Unit	2137
Examiner Name	M. D. T. Nguyen
Attorney Docket Number	18645-00023

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
MDN	AA	US- 4679236	7/7/87	Davies	
	AB	US- 5191613	3/2/1993	Graziano et al.	
	AC	US- 5299262	3/29/1994	Brickell et al.	
	AD	US- 5511121	4/23/1996	Yacobi	
	AE	US- 5544255	8/6/1996	Smithies et al.	
	AF	US- 5546463	8/13/1996	Caputo et al.	
	AG	US- 5613012	3/18/1997	Hoffman et al.	
	AH	US- 5647017	7/8/1997	Smithies et al.	
	AI	US- 5724425	3/3/1998	Chang et al.	
	AJ	US- 5778071	7/7/1998	Caputo et al.	
	AK	US- 5784464	7/21/1998	Akiyama et al.	
	AL	US- 5787175	7/28/1998	Carter	
	AM	US- 5796832	8/18/1998	Kawan	
	AN	US- 5799086	8/25/1998	Sudia	
	AO	US- 5805719	9/8/1998	Pare, Jr. et al.	
	AP	US- 5809145	9/15/1998	Slik et al.	
	AQ	US- 5818955	10/6/1998	Smithies et al.	
	AR	US- 5838812	11/17/1998	Pare, Jr. et al.	
	AS	US- 5862325	1/19/1999	Reed et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ³
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
MDN	FA	DE 44 06 590 A1	9/7/95	Werner		
MDN	FB	DE 196 09 232 A1	9/11/97	Korst		
MDN	FC	DE 37 04 814 C2	5/2/1996	Takaragi et al.		
	FD	DE 406 22 533 A1	12/11/1997	Schaefer-Cominzer et al.		
MDN	FE	DE 195 48 581 A1	7/3/97	Hartleif et al.		
MDN	FF	DE 297 17 520 U1	11/13/97	Thendic Electronic Compon		

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Signature

/Minh Dieu Nguyen/

Date
Considered

12/05/2006

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Sheet 2 of 10**Complete if Known**

Application Number	09/530,334
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First Named Inventor	Stefan Rover
Art Unit	2137
Examiner Name	M. D. T. Nguyen
Attorney Docket Number	18645-00023

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MDN	AT	US- 5872849	2/16/1999	Sudla et al.	
	AU	US- 5878142	3/2/1999	Caputo et al.	
	AV	US- 5903880	5/11/1999	Biffar	
	AW	US- 5943423	8/24/1999	Muftic	
	AX	US- 5948103	9/7/1999	Fukuzaki	
	AY	US- 5960085	9/28/1999	de la Hueraga	
	AZ	US- 6009177	12/28/1999	Sudla	
	BA	US- 6018724	1/25/2000	Arent	
	BB	US- 6038549	3/14/2000	Davis et al.	
	BC	US- 6041314	3/21/2000	Davis	
	BD	US- 6047269	4/4/2000	Biffar	
	BE	US- 6055236	4/25/2000	Nessett et al.	
	BF	US- 6061791	5/9/2000	Moreau	
	BG	US- 6064751	5/16/2000	Smithies et al.	
	BH	US- 6085320	7/4/2000	Kaliski, Jr.	
	BI	US- 6088717	7/11/2000	Reed et al.	
	BJ	US- 6091835	7/18/2000	Smithies et al.	
	BK	US- 6105006	8/15/2000	Davis et al.	
	BL	US- 6148405	11/14/2000	Llao et al.	

FOREIGN PATENT DOCUMENTS

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MDN	FG	DE 196 34 418 A1	3/5/1998	Lewke		
	FH	DE 196 18 471 A1	1/8/98	Hartleif et al.		
	FI	DE 43 33 388 A1	4/6/1995	Rankl et al.		
	FJ	DE 42-01 197 A1	7/22/93	Weis et al.		
	FK	DE 43 35 317 C2	2/12/1998	Scharf-Katz		
	FL	DE 198 44 677	2/17/00	Al Khaja		

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Date
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Sheet **3** of **10****Complete if Known**

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Filing Date	June 10, 2000
First Named Inventor	Stefan Rover
Art Unit	2137
Examiner Name	M. D. T. Nguyen
Attorney Docket Number	18645-00023

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		Number-Kind Code ² (if known)			
MDN	BM	US- 6157290	12/5/2000	Jakobsson et al.	
	BN	US- 6189098	2/13/2001	Kallski, Jr.	
	BO	US- 6205435	3/20/2001	Biffar	
	BP	US- 6213391	4/10/2001	Lewis	
	BQ	US- 6223291	4/24/2001	Puhl et al.	
	BR	US- 6240091	5/29/2001	Ginzboorg et al.	
	BS	US- 6243480	6/5/2001	Zhao et al.	
	BT	US- 6282522	8/28/2001	Davis et al.	
	BU	US- 6311167	10/30/2001	Davis et al.	
	BV	US- 6314468	11/6/2001	Murphy et al.	
	BW	US- 6314519	11/6/2001	Davis et al.	
	BX	US- 6325292	12/4/2001	Sehr	
	BY	US- 6332133	12/18/2001	Takayama	
	BZ	US- 6367013	4/2/2002	Bisbee et al.	
	CA	US- 6381344	4/30/2002	Smithies et al.	
	CB	US- 6394341	5/28/2002	Makipaa et al.	
	CC	US- 6397261	5/28/2002	Eldridge et al.	
	CD	US- 6404337	6/11/2002	Van Till et al.	
	CE	US- 6142369	11/7/2000	Jonstromer	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
MDN	FM	DE 100 49 164 A1	4/18/2002	Reiken et al.		
	FN	DE 44 20 967 A1	12/21/1995	ESD Vermögensverwaltungsg		
	FO	DE 197 47 603	5/20/1999	Röver et al.		
	FP	WO 95/35635	12-28-1995	Rittor et al.		
	FQ	WO 93/26131	12/23/1993	KOIVUNEN		
	FR	WO 94/08433	12/23/1993	Hemansson et al.		

Examiner Signature	/Minh Dieu Nguyen/	Date Considered	12/05/2006
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Sheet	4	of	10
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Complete if Known

Application Number	09/530,334
Filing Date	June 10, 2000
First Named Inventor	Stefan Rover
Art Unit	2137
Examiner Name	M. D. T. Nguyen
Attorney Docket Number	18645-00023

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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		Country Code ² -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
MDN	FS	WO 94/11849	5/26/1994	VATANEN		
↓	FT	WO 90/13213	11/1/1990	Gloster et al.		
	FU	WO 92/14329	8/20/1992	Lahtinen		
	FV	EP 0 555 992	9/4/1996	Jokimies		
	FW	EP 0 562 890	6/14/2000	Green		
	FX	EP 0 481 714	1/2/1997	Sommerville		

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		First Named Inventor	Stefan Rover
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Sheet 6	of 10	Attorney Docket Number 18645-00023	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MDN	OA	Der HBCI-Standard- Was bedeutet "HBCI (Home Banking Computer Interface)"? An English synopsis of the document is included. 1995	
	OB	MICHEL, UWE; Sicherheitsfunktionen im paneuropaischen Mobilfunknetz, DeTeCon Deutsche Telepost Consulting GmbH, Einfuhrungsveranstaltung vom 8.10.-11.10.1991. An English synopsis of the document is included.	
	OC	"RSA [Rivest, Shamir and Adelman algorithm] Enters Wireless Arena," January 12, 1994, Redwood City, CA	
	OD	"Motorola to Apply RSA Technology to Family of Information Security Products for Worldwide Communication of Sensitive Data," October 16, 1995, Redwood City, CA	
	OE	"Unwired Planet Chooses RSA Technology for Wireless Internet Platform," July 8, 1997, Redwood City, CA	
	OF	ROTT, CHRISTIAN; E-Cash: Stocktaking, Student of the economical informatics for the practical course from experimental Economics, January 1998, Vienna. An English translation of the document is included.	
	OG	MURCH, ALLAN; STIFFE, PETER; "Cellular Data Services over GSM" MICROWAVE JOURNAL, USA, vol. 38, no. 7, July 1995, ISSN 0026-2897, page 90, 92, 94, 96 XP000524891	
	OH	IRLBECK, THOMAS; "Digital Signature," Computer-Lexikon, Beck EDV Consultants A-Z. An English translation of the document is included. 1998	
	OI	Digital Cellular Telecommunications System (Phase 2+); Technical realization of the Short Message Service (SMS); Point-to-Point (PP) (GSM 03.40) 1 October 1996	
↓	OJ	PFITZMANN, ANDREAS; PFITZMANN, BIRGIT; SCHUNTER, MATTHIAS; WADNER, MICHAEL; Mobile User Devices and Security Modules: Design for Trustworthiness, February 5, 1996	

Examiner Signature	/Minh Dieu Nguyen/	Date Considered	12/05/2006
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		Art Unit	2137
		Examiner Name	M. D. T. Nguyen
Sheet 7	of 10	Attorney Docket Number	18645-00023

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MDN	OK	ELGAMAL, TAHER; "A Public Key Cryptosystem and a Signature Scheme Based on Discrete Logarithms," July, 1985, IEEE Transaction Information Theory; 1985 vol. IT31 pp.469-472	
	OL	CHAUM, DAVID; FIAT, AMOS; NAOR, MONI; "Untraceable Electronic Cash" Session 9, Protocols, University of Montreal, pp. 319-327 11990	
	OM	DONN, IVAN; "Smart Cards in GSM," Elektron, February, 1994	
	ON	GRIGOROVA, THEODORA; LEUNG, IVAN; "SIM Cards," TELECOMMUNICATION JOURNAL OF AUSTRALIA, vol. 43, no. 2, 1993 AU, pages 33-38	
	OO	HEROLD, VON SVEN; "Hintergrund: Was ist HBCI?," May 10, 2001, ZDF.MSNBC. An English synopsis of this document is included.	
	OP	LUKAS, SYLVIA; "Cyber Money - Kunstliches Geld in Internet und Elektronischen Geldborsen," pp. 122-123, 1997, Hermann Luchterhand Verlag, Neuwied, Kriftel/Ts., Berlin. An English synopsis of the document is included.	
	OQ	VOLPE, FRANCES P.; VOLPE, SAFINAZ; "Chipkarten," pp. 62-65, Verlag Heinz Heise GmbH & Co KG, Hannover. An English synopsis of the document is included. 11996	
	OR	HORSTER, PATRICK; "Trust Center," pp. 1-9, 154-157, 1995, Friedr. Vieweg & Sohn Verlagsgesellschaft mbH, Braunschweig/Wiesbaden, 1995. An English synopsis of the document is included.	
	OS	BELLARE, MIHIR; GARAY, JUAN A.; HAUSER, RALF; HERZBERG, AMIR; KRAWCYZK, HUGO; TSUOIK, GENE; Waidner, Michael; "IKP - A Family of Secure Electronic Payment Protocols," August 2, 1995.	
↓	OT	An English translation of a portion of FI 945075 (cite FY)	

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Sheet 8	of 10	Attorney Docket Number	18645-00023

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MDN	OU	An English translation of a portion of DE 4406590 (cite FA)	
MDN	OV	An English translation of a portion of DE 19609232 (cite FB)	
MDN	OW	An English translation of a portion of DE 3704814 (cite FC)	
	OX	An English translation of a portion of DE 19622533 (cite FD)	
MDN	OY	An English translation of a portion of DE 19548581 (cite FE)	
MDN	OZ	An English translation of a portion of DE 19634418 (cite FG)	
MDN	OAA	An English translation of a portion of DE 19618471 (cite FH)	
MDN	OAB	An English translation of a portion of DE 4333388 (cite FI)	
MDN	OAC	An English translation of a portion of DE 4201197 (cite FJ)	
MDN	OAD	An English translation of a portion of DE 19844677 (cite FL)	

Examiner Signature	/Minh Dieu Nguyen/	Date Considered	12/05/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete If Known

Application Number	09/530,334
Filing Date	June 10, 2000
First Named Inventor	Stefan Rover
Art Unit	2137
Examiner Name	M. D. T. Nguyen
Attorney Docket Number	18645-00023

Sheet

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of

10

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MDN	OAE	An English translation of a portion of DE 10049164 (cite FM)	
	OAF	An English translation of a portion of DE 19747603 (cite FO)	
	OAG	An English translation of a portion of WO 95/35635 (cite FP)	
	OA H	An English translation of WO 93/26131 (cite FQ)	
	OAI	An English translation of WO 94/08433 (cite FR)	
	OAJ	An English translation of WO 94/11849 (cite FS)	
	OAK	An English translation of WO 90/13213 (cite FT)	
	OAL	An English translation of WO 92/14329 (cite FU)	
	OAM	An English translation of EP 0555992 (cite FV)	
↓	OAN	An English translation of EP 0562890 (cite FW)	

Examiner Signature	/Minh Dieu Nguyen/	Date Considered	12/05/2006
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 10

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MDN	OAO	An English translation of EP 0481714 (cite FX)	
	OAP	An English translation of a portion of CH 683052 (cite FZ)	
	OAQ	An English translation of a portion of SE 467559 (cite FAA)	
	OAR	An English translation of WO 96/13814 (cite FAB)	
	OAS	An English translation of EP 0777394 (cite FAC)	
	OAT	An English translation of a portion of DE 29717520 (cite FF)	
	OAU	An English translation of a portion of DE 4335317 (cite FK)	
↓	OAV	An English translation of a portion of DE 4420967 (cite FN)	

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